

14230 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL (New Nonprovisional Applications Under 37 CFR § 1.53(b))	Attorney Docket No. <u>2701P</u>
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TO THE COMMISSIONER FOR PATENTS:

17525 U.S. PTO
 10/600903
 06/19/03

Transmitted herewith for filing is a Patent Application claiming under 35 USC 119(e) the benefit of provisional patent application serial no. 60/389,956 filed June 20, 2002, in the name of **Ka Shun Kevin FUNG**, entitled **Method and System for Managing Credit- Related and Exchange Rate-Related Risk**, for a(n):

- ☒ (X) Original Patent Application.
☐ () Continuing Application (prior application not abandoned):
 ☐ () Continuation ☐ () Divisional ☐ () Continuation-in-part (CIP)
 of prior application No: _____ Filed on: _____.

Enclosed are:

- ☒ (X) Specification: 74 Total Pages.
☒ (X) Drawing(s): 8 Total Sheets.
☒ (X) Combined Declaration and Power of Attorney:
 ☒ (X) Newly Executed (original or copy)
 ☐ () Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
☐ () Assignment Papers (cover sheet and document(s)).
☐ () Nonpublication Request under 35 USC 122(b)(2)(B)(i).
☒ (X) Information Disclosure Statement and Form PTO-1449. ☒ (X) Copies of (6) six IDS Citations.
☒ (X) Return Receipt Postcard.
☐ () Other:

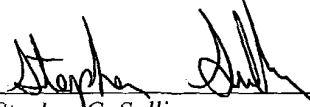
CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	46	26	\$9.00	\$ 234.00
Independent Claims	9	6	\$42.00	\$ 252.00
Multiple Dependent Claims (if applicable)				\$0.00
Basic Filing Fee				\$375.00
Total Filing Fee				\$ 861.00

Payment of Fees:

- ☒ Check no. 5841 in the amount of \$ 861.00 is enclosed for payment of Filing Fees(s).
☐ Charge \$ _____ to Deposit Account _____ (Acct Holder Name) for payment of Filing Fee(s).
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Respectfully submitted,


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EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Date of Deposit: June 19, 2003